



Att'y. Dkt. No. 040563-0169



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Grier et al.


Title: OPTICAL PERISTALTIC  
PUMPING WITH OPTICAL  
TRAPS

Prior Appl. No.: 09/875,812

Prior Appl. Filing Date: 06/06/2001

Examiner:

Art Unit: 2881

<b>CERTIFICATE OF EXPRESS MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.	
EV 291979713 US	August 29, 2003
(Express Mail Label Number)	(Date of Deposit)
Charlie Schreck (Printed Name)	
	
(Signature)	

**CONTINUING PATENT APPLICATION**  
**TRANSMITTAL LETTER**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a:

☒ Continuation    ☐ Division    ☐ Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

☒ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (22 pages).
- ☒ Formal drawings (5 sheets, Figures 1-15).
- ☒ Declaration and Power of Attorney (copy 3 pages).

- ☒ Assignment of the invention to University of Chicago.
- ☒ Assignment Recordation Cover Sheet.
- ☒ Check in the amount of \$40.00 for Assignment recordation.
- ☒ Small Entity statement.
- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☒ Information Disclosure Statement.
- ☒ Form PTO-1449 with copies of 3 listed reference(s).
- ☐ Preliminary Amendment.
- ☒ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total	34	- 20	= 14	x \$18.00	= \$252.00
Claims:					
Independent	4	- 3	= 1	x \$84.00	= \$84.00
ts:					
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
				SUBTOTAL:	= \$1086.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$543.00
				TOTAL FILING FEE:	= \$543.00

- ☒ The Commissioner is hereby authorized to charge the filing fee in the amount of \$543.00 to Deposit Account No. 06-1450 of Foley & Lardner.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1450. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-

dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1450.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date Aug. 29, 2003

By Michael D. Rechten

FOLEY & LARDNER

Customer Number: 27433



27433

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